

Direct CP asymmetry in $D \rightarrow \pi\pi$ and $D \rightarrow KK$ in QCD



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Table of Contents:

- Introduction: CP-violation in charm
- Matrix elements in LCSR s
- Results
- Things to take home

Based on arXiv:1706.07780 with A. Khodjamirian

- Fundamental problem: CP-violation in the up-quark sector

- can manifest itself in charm $\Delta C=1$ transitions via

$$\Gamma(D \rightarrow f) \neq \Gamma(CP[D] \rightarrow CP[f])$$

- ... and observed via CP-violating asymmetry

$$a_{CP}(f) = \frac{\Gamma(D \rightarrow f) - \Gamma(\bar{D} \rightarrow \bar{f})}{\Gamma(D \rightarrow f) + \Gamma(\bar{D} \rightarrow \bar{f})},$$

- this asymmetry has been studied with $\pi^+\pi^-$ and K^+K^- final states

$$a_{CP}(K^-K^+) = (0.04 \pm 0.12 \text{ (stat)} \pm 0.10 \text{ (syst)})\%,$$

LHCb 2017

$$a_{CP}(\pi^-\pi^+) = (0.07 \pm 0.14 \text{ (stat)} \pm 0.11 \text{ (syst)})\%.$$

- Note that initial state is a D^0 meson: possible CPV in mixing?

- Differences of CP-violating asymmetries with D^0 mesons
 - each CPV asymmetry contains three components

$$a_{CP}(f) = a_f^d + a_f^m + a_f^i$$

direct mixing interference

- since for CP-eigenstate final states (such as $\pi^+\pi^-$ and K^+K^-)
 $a_{KK}^m = a_{\pi\pi}^m$ and $a_{KK}^i = a_{\pi\pi}^i$, mixing asymmetries would (ideally) cancel

$$\Delta a_{CP}^{\text{dir}} = a_{CP}^{\text{dir}}(f_1) - a_{CP}^{\text{dir}}(f_2)$$

SU(3) is badly broken in D-decays
 e.g. $\text{Br}(D \rightarrow KK) \sim 3 \text{ Br}(D \rightarrow \pi\pi)$

- recent measurements indicate that

$$\Delta a_{CP}^{\text{dir}} = (-0.12 \pm 0.13)\%,$$

HFAG average

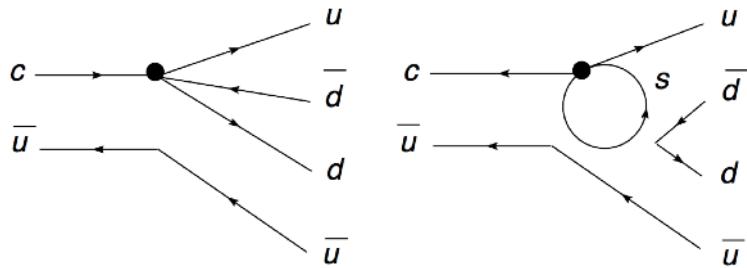
$$\Delta a_{CP}^{\text{dir}} = (-0.10 \pm 0.08 \pm 0.03)\%$$

LHCb 2016

- Naively, $\Delta a_{CP} = a_{KK}^d - a_{\pi\pi}^d \approx 2a_{KK}^d$. How can it be interpreted?

Introduction: theoretical interpretations

- Naively, there are contributions from trees and penguins
 - ... which we need to calculate/fit to predict CPV



$$A_{KK} = \frac{G_F}{\sqrt{2}} \lambda \left[(T + E + P_{sd}) + a \lambda^4 e^{-i\gamma} P_{bd} \right]$$

$$A_{\pi\pi} = \frac{G_F}{\sqrt{2}} \lambda \left[(-(T + E) + P_{sd}) + a \lambda^4 e^{-i\gamma} P_{bd} \right]$$

$$\lambda = \sin \theta_C$$

- Need to estimate size of penguin/penguin contractions vs. tree
 - is there an unknown penguin enhancement (similar to $\Delta l = 1/2$)?
 - SU(3) analysis: how well do we know ME in broken SU(3)?

Golden & Grinstein PLB 222 (1989) 501;
Pirtshalava & Uttayarat 1112.5451

- QCD factorization-like: how well do we know $1/m_c$ corrections?

Isidori et al PLB 711 (2012) 46; Brod et al 1111.5000

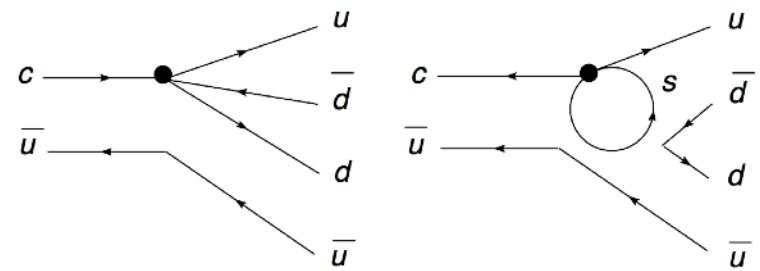
- flavor-flow diagram analyses

Broad et al 1203.6659; Bhattacharya et al PRD 85 (2012) 054014; Cheng & Chiang 1205.0580

Is this a penguin or a tree?



without QCD



with QCD

Calculating CP-asymmetries in QCD

- Effective Hamiltonian for singly Cabibbo-suppressed (SCS) decays
 - drop all “penguin” operators (Q_i for $i \geq 3$) as C_i are small, $\lambda_q = V_{uq} V_{cq}^*$,

$$\mathcal{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \left[\sum_{q=d,s} \lambda_q (C_1 \mathcal{Q}_1^q + C_2 \mathcal{Q}_2^q) - \lambda_b \sum_{i=3,\dots,6,8g} C_i \mathcal{Q}_i \right]$$

- recall that $\sum_{q=d,s,b} \lambda_q = 0$ or $\lambda_d = -(\lambda_s + \lambda_b)$ and

$$\mathcal{Q}_1^q = (\bar{u} \Gamma_\mu q) (\bar{q} \Gamma^\mu c), \quad \mathcal{Q}_2^q = (\bar{q} \Gamma_\mu q) (\bar{u} \Gamma^\mu c)$$

- define a short-hand notation

$$\mathcal{O}^q \equiv \frac{G_F}{\sqrt{2}} \sum_{i=1,2} C_i \mathcal{Q}_i^q, \quad \text{with } q = d, s.$$

- ... and matrix elements, e.g.

$$A(D^0 \rightarrow \pi^- \pi^+) = \lambda_d \langle \pi^- \pi^+ | \mathcal{O}^d | D^0 \rangle + \lambda_s \langle \pi^- \pi^+ | \mathcal{O}^s | D^0 \rangle$$

Amplitude decomposition

- Recipe for calculation of CPV asymmetry

- prepare decay amplitudes

$$A(D^0 \rightarrow \pi^-\pi^+) = \lambda_d \langle \pi^-\pi^+ | \mathcal{O}^d | D^0 \rangle + \lambda_s \langle \pi^-\pi^+ | \mathcal{O}^s | D^0 \rangle$$

$$A(D^0 \rightarrow K^-K^+) = \lambda_s \langle K^-K^+ | \mathcal{O}^s | D^0 \rangle + \lambda_d \langle K^-K^+ | \mathcal{O}^d | D^0 \rangle$$

- add and subtract $\lambda_b \langle \pi^-\pi^+ | \mathcal{O}^s | D^0 \rangle$, put in a new form

$$A(D^0 \rightarrow \pi^-\pi^+) = -\lambda_s \mathcal{A}_{\pi\pi} \left[1 + \frac{\lambda_b}{\lambda_s} (1 + r_\pi \exp(i\delta_\pi)) \right]$$

$$A(D^0 \rightarrow K^-K^+) = \lambda_s \mathcal{A}_{KK} \left[1 - \frac{\lambda_b}{\lambda_s} r_K \exp(i\delta_K) \right]$$

- define things we cannot compute (extract from branching ratios)

$$\mathcal{A}_{\pi\pi} = \langle \pi^-\pi^+ | \mathcal{O}^d | D^0 \rangle - \langle \pi^-\pi^+ | \mathcal{O}^s | D^0 \rangle$$

$$\mathcal{A}_{KK} = \langle K^-K^+ | \mathcal{O}^s | D^0 \rangle - \langle K^-K^+ | \mathcal{O}^d | D^0 \rangle$$

- ... and things we can $\mathcal{P}_{\pi\pi}^s = \langle \pi^-\pi^+ | \mathcal{O}^s | D^0 \rangle$, $\mathcal{P}_{KK}^d = \langle K^-K^+ | \mathcal{O}^d | D^0 \rangle$

$$r_\pi = \left| \frac{\mathcal{P}_{\pi\pi}^s}{\mathcal{A}_{\pi\pi}} \right|, \quad r_K = \left| \frac{\mathcal{P}_{KK}^d}{\mathcal{A}_{KK}} \right|$$

- Some things to keep in mind

- “penguin-type amplitudes” $\mathcal{P}_{\pi\pi}^s$ and \mathcal{P}_{KK}^d denote matrix elements of operators that contain quark-antiquark pair that does not match the valence content of the final state mesons; otherwise no relation to penguin topological amplitudes

$$\mathcal{P}_{\pi\pi}^s = \langle \pi^- \pi^+ | \mathcal{O}^s | D^0 \rangle, \quad \mathcal{P}_{KK}^d = \langle K^- K^+ | \mathcal{O}^d | D^0 \rangle$$

- calculate $\mathcal{P}_{\pi\pi}^s$ and \mathcal{P}_{KK}^d using a modified light-cone QCD sum rules

$$r_\pi = \left| \frac{\mathcal{P}_{\pi\pi}^s}{\mathcal{A}_{\pi\pi}} \right|, \quad r_K = \left| \frac{\mathcal{P}_{KK}^d}{\mathcal{A}_{KK}} \right|$$

$$\delta_{\pi(K)} = \arg \left[\mathcal{P}_{\pi\pi(KK)}^{s(d)} \right] - \arg \left[\mathcal{A}_{\pi\pi(KK)} \right]$$

- weak phase $r_b e^{-i\gamma} = \frac{\lambda_b}{\lambda_s}$

Direct CP-violating asymmetries

- Setting up direct CP asymmetry
 - each amplitude has two parts with own weak and strong phases

$$A(D^0 \rightarrow \pi^- \pi^+) = -\lambda_s \mathcal{A}_{\pi\pi} \left[1 + \frac{\lambda_b}{\lambda_s} (1 + r_\pi \exp(i\delta_\pi)) \right]$$
$$A(D^0 \rightarrow K^- K^+) = \lambda_s \mathcal{A}_{KK} \left[1 - \frac{\lambda_b}{\lambda_s} r_K \exp(i\delta_K) \right]$$

- this implies for the direct CP-violating asymmetries

$$a_{CP}^{dir}(K^- K^+) = -2r_b r_K \sin \delta_K \sin \gamma$$

$$a_{CP}^{dir}(\pi^- \pi^+) = 2r_b r_\pi \sin \delta_\pi \sin \gamma$$

- ... and for their difference

$$\Delta a_{CP}^{dir} = -2r_b \sin \gamma (r_K \sin \delta_K + r_\pi \sin \delta_\pi)$$

- We need to compute $r_{\pi(K)}$ and $\delta_{\pi(K)}$

Calculating matrix elements

Khodjamirian, NPB 605 (2001) 558

- Use modified light-cone QCD Sum Rule (LCSR) method
 - start with the correlation function ($j_5^{(D)} = im_c\bar{c}\gamma_5 u$ and $j_{\alpha 5}^{(\pi)} = \bar{d}\gamma_\alpha\gamma_5 u$)

$$\begin{aligned} F_\alpha(p, q, k) &= i^2 \int d^4x e^{-i(p-q)x} \int d^4y e^{i(p-k)y} \langle 0 | T \left\{ j_{\alpha 5}^{(\pi)}(y) \mathcal{Q}_1^s(0) j_5^{(D)}(x) \right\} | \pi^+(q) \rangle \\ &= (p - k)_\alpha F((p - k)^2, (p - q)^2, P^2) + \dots, \end{aligned}$$

- use dispersion relation in (p-k) and (p-q), perform Borel transform, extract matrix element:

$$\langle \pi^-(-q)\pi^+(p) | \mathcal{Q}_1^s | D^0(p - q) \rangle = \frac{-i}{\pi^2 f_\pi f_D m_D^2} \int_0^{s_0^\pi} ds e^{-s/M_1^2} \int_{m_c^2}^{s_0^D} ds' e^{(m_D^2 - s')/M_2^2} \text{Im}_{s'} \text{Im}_s F(s, s', m_D^2)$$

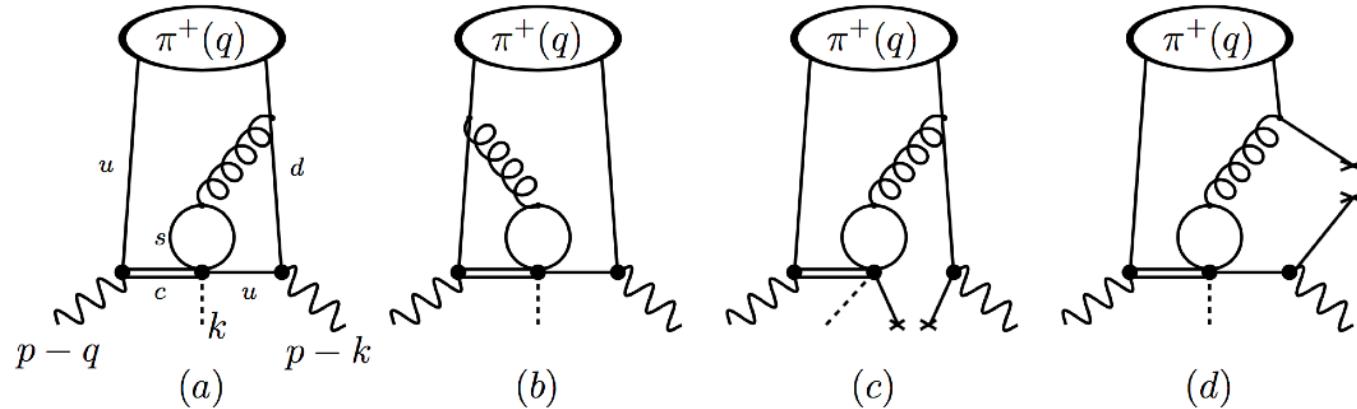
- perform LC expansion of $F(s, s', m_D^2)$ to get $\mathcal{P}_{\pi\pi}^s$
- note that $C_1 \mathcal{Q}_1^s + C_2 \mathcal{Q}_2^s = 2C_1 \tilde{\mathcal{Q}}_2^s + \left(\frac{C_1}{3} + C_2\right) \mathcal{Q}_2^s$ with $\tilde{\mathcal{Q}}_2^s = \left(\bar{s}\Gamma_\mu \frac{\lambda^a}{2} s\right) \left(\bar{u}\Gamma^\mu \frac{\lambda^a}{2} c\right)$

thus $\mathcal{P}_{\pi\pi}^s = \frac{2G_F}{\sqrt{2}} C_1 \langle \pi^+ \pi^- | \tilde{\mathcal{Q}}_2^s | D^0 \rangle$

Calculating matrix elements

- Evaluate (leading) diagrams contributing to the correlation function
 - calculate OPE in terms of known LC DAs

Khodjamirian, NPB 605 (2001) 558;
Khodjamirian, Mannel, Melic, PLB 571 (2003)
Khodjamirian, AAP arXiv: 1706.07780



- analytically continue from the space-like region of $P^2 = (p-k-q)^2$ (with auxiliary 4-momentum $k \neq 0$) to $P^2 = m_D^2$, relying on the local quark-hadron duality
- extract absolute value and the phase of matrix element $\mathcal{P}_{\pi\pi}^s$
- vary parameters of the calculation to estimate uncertainties

Results of the calculation

$$\begin{aligned}
 \langle \pi^- \pi^+ | \tilde{Q}_2^s | D^0 \rangle &= i \frac{\alpha_s C_F m_c^2}{8\pi^3 m_D^2 f_D} \left[\int_0^{s_0^\pi} ds e^{-s/M_1^2} \int_{u_0^D}^1 \frac{du}{u} e^{\left(m_D^2 - \frac{m_c^2}{u}\right)/M_2^2} \right. \\
 &\times \left\{ P^2 \int_0^1 dz I(z u P^2, m_s^2) \left(z(1-z) \varphi_\pi(u) \right. \right. \\
 &+ (1-z) \frac{\mu_\pi}{2m_c} \left[\left(2z + \frac{m_c^2}{u P^2} \right) u \phi_{3\pi}^p(u) + \frac{1}{3} \left(2z - \frac{m_c^2}{u P^2} \right) \left(\phi_{3\pi}^\sigma(u) - \frac{u \phi_{3\pi}^{\sigma'}(u)}{2} \right) \right] \left. \right) \\
 &- \frac{\mu_\pi m_c}{4} \int_0^1 dz I(-z \bar{u} m_c^2/u, m_s^2) \frac{\bar{u}^2}{u} \left[\left(1 + \frac{3m_c^2}{u P^2} \right) \phi_{3\pi}^p(1) + \left(1 - \frac{5m_c^2}{u P^2} \right) \frac{\phi_{3\pi}^{\sigma'}(1)}{6} \right] \left. \right\} \\
 &+ \frac{2\pi^2}{3} m_c (-\langle \bar{q}q \rangle) \int_{u_0^D}^1 \frac{du}{u^2} e^{\left(m_D^2 - \frac{m_c^2}{u}\right)/M_2^2} \left\{ I(u P^2, m_s^2) \left(2\varphi_\pi(u) + \frac{\mu_\pi}{m_c} \left[3u \phi_{3\pi}^p(u) \right. \right. \right. \\
 &+ \left. \left. \left. \frac{\phi_{3\pi}^\sigma(u)}{3} - \frac{u \phi_{3\pi}^{\sigma'}(u)}{6} \right] \right) \right\}_{P^2 \rightarrow m_q^2} , \quad I(\ell^2, m_q^2) = \frac{1}{6} + \int_0^1 dx x(1-x) \ln \left[\frac{m_q^2 - x(1-x)\ell^2}{\mu^2} \right]
 \end{aligned}$$

Amplitude predictions

- As a result...

$$\langle \pi^+ \pi^- | \tilde{\mathcal{Q}}_2^s | D^0 \rangle = (9.50 \pm 1.13) \times 10^{-3} \exp[i(-97.5^\circ \pm 11.6)] \text{ GeV}^3$$

$$\langle K^+ K^- | \tilde{\mathcal{Q}}_2^d | D^0 \rangle = (13.9 \pm 2.70) \times 10^{-3} \exp[i(-71.6^\circ \pm 29.5)] \text{ GeV}^3$$

- Extract $\mathcal{A}_{\pi\pi}$ and \mathcal{A}_{KK} amplitudes from experimental data

$$\mathcal{B}(D^0 \rightarrow \pi^- \pi^+) = (1.407 \pm 0.025) \times 10^{-3}$$

$$\mathcal{B}(D^0 \rightarrow K^- K^+) = (3.97 \pm 0.07) \times 10^{-3}$$



$$|\mathcal{A}_{\pi\pi}| \simeq \lambda_s^{-1} |A(D \rightarrow \pi^- \pi^+)| = (2.10 \pm 0.02)$$

$$|\mathcal{A}_{KK}| \simeq \lambda_s^{-1} |A(D \rightarrow K^- K^+)| = (3.80 \pm 0.03)$$

- Thus, $r_\pi = \frac{|\mathcal{P}_{\pi\pi}^s|}{|\mathcal{A}_{\pi\pi}|} = 0.093 \pm 0.011$, $r_K = \frac{|\mathcal{P}_{KK}^d|}{|\mathcal{A}_{KK}|} = 0.075 \pm 0.015$

Predictions for the Acp

- Assuming the phases of $r_{\pi\pi(KK)}$ are given by the phases of $\mathcal{P}_{\pi\pi(KK)}^{s(d)}$

$$a_{CP}^{dir}(\pi^-\pi^+) = -0.011 \pm 0.001\%,$$

$$a_{CP}^{dir}(K^-K^+) = 0.009 \pm 0.002\%.$$

$$\Delta a_{CP}^{dir} = 0.020 \pm 0.003\%.$$

Khodjamirian, AAP arXiv: 1706.07780

- The magnitude of hadronic MEs defining CPV are computed

$$r_\pi = \frac{|\mathcal{P}_{\pi\pi}^s|}{|\mathcal{A}_{\pi\pi}|} = 0.093 \pm 0.011, \quad r_K = \frac{|\mathcal{P}_{KK}^d|}{|\mathcal{A}_{KK}|} = 0.075 \pm 0.015$$

- The magnitude of direct CPV asymmetry in $D \rightarrow \pi^+\pi^-$ and $D \rightarrow K^+K^-$ can be predicted from the calculation of the relevant hadronic matrix elements from LCSR

$$\Delta a_{CP}^{dir} = 0.020 \pm 0.003\%$$

- No topological amplitude decomposition was used (note that OPE hierarchy sorts out the leading penguin-type diagrams)
- The strong phase difference is not yet reliably accessible: duality violations are not easily identifiable (e.g. broad scalar resonances influencing hadronic matrix elements)



- Light cone distribution amplitudes

$$\varphi_\pi(u) = 6u\bar{u} \left(1 + a_2^\pi C_2^{3/2}(u - \bar{u}) + a_4^\pi C_4^{3/2}(u - \bar{u}) \right)$$

$$\phi_{3\pi}^p(u) = 1 + 30 \frac{f_{3\pi}}{\mu_\pi f_\pi} C_2^{1/2}(u - \bar{u}) - 3 \frac{f_{3\pi} \omega_{3\pi}}{\mu_\pi f_\pi} C_4^{1/2}(u - \bar{u}),$$

$$\phi_{3\pi}^\sigma(u) = 6u(1-u) \left(1 + 5 \frac{f_{3\pi}}{\mu_\pi f_\pi} \left(1 - \frac{\omega_{3\pi}}{10} \right) C_2^{3/2}(u - \bar{u}) \right)$$

$$\varphi_K(u) = 6u\bar{u} \left(1 + a_1^K C_1^{3/2}(u - \bar{u}) + a_2^K C_2^{3/2}(u - \bar{u}) \right)$$

Parameters of the calculation

Parameter values and references	Parameter rescaled to $\mu = 1.5$ GeV
$\alpha_s(m_Z) = 0.1181 \pm 0.0011$ [6]	0.351
$\bar{m}_c(\bar{m}_c) = 1.27 \pm 0.03$ GeV [6]	1.19 GeV
$\bar{m}_s(2\text{ GeV}) = 96^{+8}_{-4}$ MeV [6]	105 MeV
$\langle \bar{q}q \rangle(2\text{ GeV}) = (-276^{+12}_{-10}\text{ MeV})^3$ [6]	(-268 MeV) ³
$\langle \bar{s}s \rangle = (0.8 \pm 0.3)\langle \bar{q}q \rangle$ [21]	(-249 MeV) ³
$a_2^\pi(1\text{ GeV}) = 0.17 \pm 0.08$ [22]	0.14
$a_4^\pi(1\text{ GeV}) = 0.06 \pm 0.10$ [22]	0.045
$\mu_\pi(2\text{ GeV}) = 2.48 \pm 0.30$ GeV [6]	2.26 GeV
$f_{3\pi}(1\text{ GeV}) = 0.0045 \pm 0.015$ GeV ² [19]	0.0036 GeV ²
$\omega_{3\pi}(1\text{ GeV}) = -1.5 \pm 0.7$ [19]	-1.1
$a_1^K(1\text{ GeV}) = 0.10 \pm 0.04$ [23]	0.09
$a_2^K(1\text{ GeV}) = 0.25 \pm 0.15$ [19]	0.21
$\mu_K(2\text{ GeV}) = 2.47^{+0.19}_{-0.10}$ GeV [6]	2.25
$f_{3K} = f_{3\pi}$	0.0036 GeV ²
$\omega_{3K}(1\text{ GeV}) = -1.2 \pm 0.7$ [19]	-0.99
$\lambda_{3K}(1\text{ GeV}) = 1.6 \pm 0.4$ [19]	1.5